

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)

Hisashi OHTANI et al.)

Serial No. 09/837,552)

Filed: April 19, 2001)

For: SEMICONDUCTOR DEVICE AND METHOD THEREOF)

Examiner: Andrew Richards

Group Art Unit: 2815

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

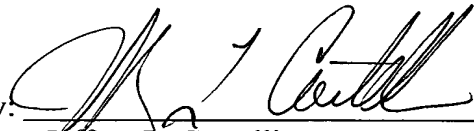
The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

Applicant's wish to bring to the Examiner's attention that Publication Nos. US-2001/0030722; US-2002/0080295; and U.S. Patent No. 6,323,068 were cited in related application Serial No. 09/837,877. In addition, U.S. Patent Nos. 6,479,333 and 6,603,453 were cited in related application Serial No. 09/837,558.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

By: 

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known			
				Application Number		09/837,552	
				Filing Date		April 19, 2001	
				First Named Inventor		Hisashi OHTANI et al.	
				Art Unit		2815	
Examiner Name		Andrew Richards					
Sheet	1	of	1	Attorney Docket Number		740756-2296	

U.S. PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-2001/0030722 A1	10/18/2001	Murade	
		US-2002/0080295 A1	06/27/2002	Someya et al.	
		US-6,323,068 B1	11/27/2001	Seo	
		US-6,479,333 B1	11/12/2002	Takano et al.	
		US-6,603,453 B2	08/05/2003	Yamazaki et al.	
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Byeon, Sang Gi et al. "High-Performance Tantalum Oxide Capacitors Fabricated by a Novel Reoxidation Scheme" IEEE Transactions On Electron Devices, Vol. 37, No. 4, April 1990	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.